

Docket No.: 2308/2050 (1620/881/P/US)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Philip Braithwaite	)	Examiner:
			)	Teena Mitchell
Serial No.	:	09/980,999	)	
			)	Art Unit:
Cnfrm. No.	:	3300	)	3743
			)	
Filed	:	June 5, 2000	)	
•			)	
For	:	DELIVERY SYSTEM	)	
		_	)	

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

Mail Stop: RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicant hereby brings to the attention of the United States Patent and Trademark Office, the references listed on the attached PTO/SB/08 form.

Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of the cited U.S. patents and patent application publications (i.e., Reference Cite Nos. 1-117) are not enclosed. Copies of all other listed references (i.e., Reference Cite Nos. 118-169) are enclosed herewith.

Respectfully submitted,

Registration No. 45,758

Date: June 20. 2006

NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051

Telephone: (585) 263-1508 Facsimile: (585) 263-1600

10024441.1

Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450, on the data below

Alexandria, VA 22313-1450, on the date below.

e Ruth R. Smit

Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

r the Paperwork Redesion Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Name of Patentee or Applicant of Cited Document

Savolainen

Goodsell

Priestly

## Complete if Known 09/980,999 Application Number INFORMATION DISCLOSURE June 5, 2000 Filing Date STATEMENT BY APPLICANT Philip Braithwaite First Named Inventor (use as many sheets as necessary) 3743 Art Unit Teena Mitchell **Examiner Name** Attorney Docket Number 1 2308/2050 (1620/881/P/US) Sheet of

U.S. PATENT DOCUMENTS

Publication Date MM-DD-YYYY

01-20-1998

05-17-1932

02-26-1952

U.S. Patent Document

Number - Kind Code2 (if known)

US-Des. 389,570

US-1,858,735

US-2,587,215

Examiner Initials Cite No.1

1

2

3

	4	US-3,008,609	11-14-1961	Sessions	
•	5	US-3,439,823	04-22-1969	Morane	
	6	US-3,798,054	03-19-1974	Kawata et al.	
	7	US-3,874,381	04-01-1975	Baum	
	8	US-3,876,269	04-08-1975	Fisher et al.	
	9	US-4,047,635	09-13-1977	Bennett, Jr.	
	10	US-4,114,615	09-19-1978	Wetterlin	
	11	US-4,200,099	04-29-1980	Guenzel et al.	
	12	US-4,274,403	06-23-1981	Struve	
	13	US-4,524,769	06-25-1985	Wetterlin	
	14	US-4,534,343	08-13-1985	Nowacki et al.	
	15	US-4,570,630	02-18-1986	Elliott et al.	
	16	US-4,604,847	08-12-1986	Moulding, Jr. et al.	
	17	US-4,624,442	11-25-1986	Duffy et al.	
	18	US-4,627,432	12-09-1986	Newell et al.	
	19	US-4,635,829	01-13-1987	Brittingham, Jr.	
	20	US-4,668,218	05-26-1987	Virtanen	
	21	US-4,811,731	03-14-1989	Newell et al.	
	22	US-4,860,740	08-29-1989	Kirk et al.	
•	23	US-4,882,210	11-21-1989	Romberg et al.	
	24	US-4,895,719	01-23-1990	Radhakrishnan et al.	
	25	US-4,907,583	03-13-1990	Wetterlin et al.	
	26	US-4,934,358	06-19-1990	Nilsson et al.	
	27	US-4,950,365	08-21-1990	Evans	
	28	US-5,002,048	03-26-1991	Makiej, Jr.	
	29	US-5,007,419	04-16-1991	Weinstein et al.	
	30	US-5,042,472	08-27-1991	Bunin	
	31	US-5,053,237	10-01-1991	Hendricks et al.	
	32	US-5,064,083	11-12-1991	Alexander et al.	
	33	US-5,067,491	11-26-1991	Taylor, II et al.	
·· ··	34	US-5,152,422	10-06-1992	Springer	
· ·	35	US-5,154,326	10-13-1992	Chang et al.	
	36	US-5,161,524	11-10-1992	Evans	
	37	US-5,169,029	12-08-1992	Behar et al.	
	38	US-5,192,528	03-09-1993	Radhakrishnan et al.	
-	39	US-5,207,217	05-04-1993	Cocozza et al.	
	40	US-5,208,226	05-04-1993	Palmer	
xaminer				Date	
Signature				Considered	

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for form 1449A/PTO			Complete if Known		
INEO	DMATION I	NICCI	OSTIDE	Application Number	09/980,999	
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	June 5, 2000		
SIAI			First Named Inventor	Philip Braithwaite		
	(use as many sheets as necessary)			Art Unit	3743	
			Examiner Name	Teena Mitchell		
Sheet	2	of	5	Attorney Docket Number	2308/2050 (1620/881/P/US)	

U.S. PATENT DOCUMENTS

U.S. Patent Document

2 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	41 42 43 44 45 46 47 48 49 50 51 52	US-5,253,782 US-5,263,475 US-5,295,479 US-5,301,666 US-5,351,683 US-5,409,132 US-5,411,175 US-5,415,162 US-5,435,301 US-5,437,267	10-19-1993 11-23-1993 03-22-1994 04-12-1994 10-04-1994 04-25-1995 05-02-1995 05-16-1995 07-25-1995	Gates et al. Altermatt et al. Lankinen Lerk et al. Chiesi et al. Kooijmans et al. Armstrong et al. Casper et al.	
2 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	43 44 45 46 47 48 49 50	US-5,295,479 US-5,301,666 US-5,351,683 US-5,409,132 US-5,411,175 US-5,415,162 US-5,435,301 US-5,437,267	03-22-1994 04-12-1994 10-04-1994 04-25-1995 05-02-1995 05-16-1995	Lankinen Lerk et al. Chiesi et al. Kooijmans et al. Armstrong et al.	
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	44 45 46 47 48 49 50	US-5,301,666 US-5,351,683 US-5,409,132 US-5,411,175 US-5,415,162 US-5,435,301 US-5,437,267	04-12-1994 10-04-1994 04-25-1995 05-02-1995 05-16-1995	Lerk et al. Chiesi et al. Kooijmans et al. Armstrong et al.	
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	45 46 47 48 49 50	US-5,351,683 US-5,409,132 US-5,411,175 US-5,415,162 US-5,435,301 US-5,437,267	10-04-1994 04-25-1995 05-02-1995 05-16-1995	Chiesi et al. Kooijmans et al. Armstrong et al.	
4	46 47 48 49 50	US-5,409,132 US-5,411,175 US-5,415,162 US-5,435,301 US-5,437,267	04-25-1995 05-02-1995 05-16-1995	Kooijmans et al. Armstrong et al.	
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	47 48 49 50 51	US-5,411,175 US-5,415,162 US-5,435,301 US-5,437,267	05-02-1995 05-16-1995	Armstrong et al.	
4	48 49 50 51	US-5,415,162 US-5,435,301 US-5,437,267	05-16-1995		
	49 50 51	US-5,435,301 US-5,437,267		Casper et al.	
4	50 51	US-5,437,267	07-25-1995	- Cuoper or un.	
	51			Herold et al.	
- 1			08-01-1995	Weinstein et al.	
	53	US-5,447,151	09-05-1995	Bruna et al.	
:	<b>3</b> 2	US-5,450,160	09-12-1995	Tianello et al.	
	53	US-5,458,135	10-17-1995	Patton et al.	
:	54	US-5,485,939	01-23-1996	Tucker	
:	55	US-5,520,166	05-28-1996	Ritson et al.	
	56	US-5,524,613	06-11-1996	Haber et al.	
:	57	US-5,551,597	09-03-1996	Lambelet, Jr. et al.	
	58	US-5,562,231	10-08-1996	Lambelet, Jr. et al.	
:	59	US-5,562,918	10-09-1996	Stimpson	
	60	US-5,622,166	04-22-1997	Eisele et al.	
	61	US-5,653,227	08-05-1997	Barnes et al.	
	62	US-5,657,794	08-19-1997	Briner et al.	
	63	US-5,664,557	09-09-1997	Makiej, Jr.	
	64	US-5,664,697	09-09-1997	Lambelet, Jr. et al.	
	65	US-5,676,130	10-14-1997	Gupte et al.	
	66	US-5,740,792	04-21-1998	Ashley et al.	
	67	US-5,740,794	04-21-1998	Smith et al.	
	68	US-5,775,536	07-07-1998	Lambelet, Jr. et al.	
	69	US-5,778,873	07-14-1998	Braithwaite	
•	70	US-5,785,049	07-28-1998	Smith et al.	
	71	US-5,799,821	09-01-1998	Lambelet, Jr. et al.	
-	72	US-5,857,457	01-12-1999	Hyppölä	
-	73	US-5,881,719	03-16-1999	Gottenauer et al.	
	74	US-5,896,855	04-27-1999	Hobbs et al.	
-	75	US-5,904,139	05-18-1999	Hauser	
	76	US-5,921,237	07-13-1999	Eisele et al.	
	<del>77</del>	US-5,924,417	07-20-1999	Braithwaite	
	<del>78</del>	US-5,941,241	08-24-1999	Weinstein et al.	
	<del>70</del> 79	US-5,944,660	08-31-1999	Kimball et al.	
	80	US-5,955,439	09-21-1999	Green	
		1 00 0,200,102			
Examiner Signature				Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for form 1449A/PTO			Complete if Known			
INEO	INFORMATION DISCLOSURE			Application Number	09/980,999		
				Filing Date	June 5, 2000		
SIA	STATEMENT BY APPLICANT		First Named Inventor	Philip Braithwaite			
(use as many sheets as necessary)		Art Unit	3743				
				Examiner Name	Teena Mitchell		
Sheet	3	of	5	Attorney Docket Number	2308/2050 (1620/881/P/US)		

			U.S. PATENT DO	CUMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Document  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	81	US-5,981,549	11-09-1999	Viner	
	82	US-6,006,747	12-28-1999	Eisele et al.	
	83	US-6,035,463	03-14-2000	Pawelzik et al.	
	84	US-6,065,472	05-23-2000	Anderson et al.	
	85	US-6,089,227	07-18-2000	Nilsson	
	86	US-6,116,238	09-12-2000	Jackson et al.	
	87	US-6,125,844	10-03-2000	Samiotes	
	88	US-6,158,675	12-12-2000	Ogi	
	89	US-6,196,218 B1	03-06-2001	Voges	
	90	US-6,234,167 B1	05-22-2001	Cox et al.	
	91	US-6,240,918 B1	06-05-2001	Ambrosio et al.	
	92	US-6,254,854 B1	07-03-2001	Edwards et al.	
7	93	US-6,273,085 B1	08-14-2001	Eisele et al.	
	94	US-6,324,428 B1	11-27-2001	Weinberg et al.	
	95	US-6,325,241 B1	12-04-2001	Garde et al.	
	96	US-6,328,034 B1	12-11-2001	Eisele et al.	
	97	US-6,347,629 B1	02-19-2002	Braithwaite	
	98	US-6,443,146 B1	09-03-2002	Voges	
	99	US-6,523,536 B2	02-25-2003	Fugelsang et al.	
-	100	US-6,543,443 B1	04-08-2003	Klimowicz et al.	
	101	US-6,557,552 B1	05-06-2003	Cox et al.	
	102	US-6,601,729 B1	08-05-2003	Papp	
	103	US-6,675,839 B1	01-13-2004	Braithwaite	
	104	US-6,698,425 B1	03-02-2004	Widerström	
	105	US-6,810,873 B1	11-02-2004	Haikarainen et al.	
	106	US-6,845,772 B2	01-25-2005	Braithwaite et al.	
	107	US-6,926,003 B2	08-09-2005	Seppälä	
	108	US-2003/0075172 A1	04-24-2003	Johnson et al.	
	109	US-2004/0011357 A1	01-22-2004	Braithwaite	
· · · · · · · · · · · · · · · · · · ·	110	US-2004/0101482 A1	05-27-2004	Sanders	
	111	US-2004/0236282 A1	11-25-2004	Braithwaite	
	112	US-2004/0251318 A1	12-16-2004	Braithwaite	
_	113	US-2005/0121023 A1	06-09-2005	Braithwaite	
	114	US-5,617,845	04-08-1997	Poss et al.	
	115	US-5,996,577	12-07-1999	Ohki et al.	
	116	US-2005/0116157 A1	06-26-2003	Braithwaite et al.	
	117	US-2003/0136406 A1	07-24-2003	Seppala	
	+	US-	3,212003		
		US-			
	<del> </del>	US-			
		100	<u> </u>		

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222 uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE to respond to a collection of information unless it contains a valid OMB control number.

	e for form 1449A/PTO				Complete if Known
INFORMATION DISCLOSURE		Application Number	09/980,999		
				Filing Date	June 5, 2000
STAT	STATEMENT BY APPLICANT		First Named Inventor	Philip Braithwaite	
	(use as many sheets a	as necessa	(עיני)	Art Unit	3743
				Examiner Name	Teena Mitchell
Sheet	4	of	5	Attorney Docket Number	2308/2050 (1620/881/P/US)

		F	OREIGN PATENT	DOCUMENTS		
Examiner Initials			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	118	WO 90/07351	07-12-1990	Schenk et al.	†	
-	119	WO 91/04011	04-04-1991	Riker Laboratories, Inc.		
-	120	WO 91/11173	08-08-1991	Fisons PLC		<u> </u>
	121			Boehringer Ingelheim		English
	121	WO 91/11495	08-08-1991	International GMBH		abstract
	122			Minnesota Mining and		
		WO 91/14422	10-03-1991	Manufacturing Company		
	123	WO 92/00771	01-23-1992	Innovata Biomed Limited		
	124	WO 92/03175	03-05-1992	Fisons PLC		
	125	WO 92/04928	04-02-1992	Pharbita B.V.		
	126			Boehringer Ingelheim		
		WO 92/09322	06-11-1992	International GMBH		
	127	WO 92/18188	10-29-1992	Huhtamäki Oy		
	128	WO 93/00951	01-21-1993	Inhale, Inc.		
	129			Boehringer Ingelheim		English abstract
		WO 93/11746	06-24-1993	International GMBH	<u></u>	dostract
	130	WO 93/16748	09-02-1993	Innovata Biomed Limited		
	131	WO 95/00128	01-05-1995	Astra Aktiebolag		
	132	WO 95/15777	06-15-1995	Survival Technology, Inc.	<u> </u>	
	133			Caesarea Medical		
		WO 97/00399	01-03-1997	Electronics Ltd.		ļ
	134	WO 98/31352	07-23-1998	Astra Aktiebolag		
	135	WO 99/12597	03-18-1999	Innovata Biomed Limited		
	136	WO 99/13930	03-25-1999	Glaxo Group Limited	<u> </u>	
	137	WO 99/26676	06-03-1999	Medicard Ltd.		
	138	WO 00/12163	03-09-2000	Bespak PLC		<u> </u>
	139			Max-Delbrück-Centrum		English
		WO 00/45878	08-10-2000	Für Molekulare Medizin		abstract
	140	WO 00/64519	11-02-2000	Orion Corporation		_
	141	WO 01/17595 A1	03-15-2001	Innovata Biomed Limited		
	142			Dura Pharmaceuticals,		
		WO 01/51030 A1	07-19-2001	Inc.		
	143			Rijksuniversiteit		
		WO 01/60341A1	08-23-2001	Groningen		
	144			Dura Pharmaceuticals,		
		WO 01/87378 A2	11-22-2001	Inc.	ļ	
	145			Maryland Cup		
	1	EP 0 045 522 A2	02-10-1982	Corporation		
	146	EP 0 079 478 A1	05-25-1983	Miles Laboratories Inc.		

Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	e for form 1449A/PTO			Complete if Known		
INEO	RMATION I	)ICCI	OSTIDE	Application Number	09/980,999	
				Filing Date	June 5, 2000	
SIA	STATEMENT BY APPLICANT		First Named Inventor	Philip Braithwaite		
	(use as many sheets as necessary)			Art Unit	3743	
				Examiner Name	Teena Mitchell	
Sheet	5	of	5	Attorney Docket Number	2308/2050 (1620/881/P/US)	

xaminer	Cite	Foreign Patent Document			Pages, Columns, Lines,	
xaminer iitials	No.1	Foreign Patent Document  Kind Code <sup>5</sup> Country Code <sup>3</sup> Number <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	147	EP 0 166 294 B1	10-18-1989	Elliott et al.		
	148	EP 0 372 777 B1	01-07-1993	Purewal et al.		
	149	EP 0 424 790 B1	08-04-1993	Cocozza		<del> </del>
	150	EP 0 448 204 B1	04-26-1995	Dessertine	<u>-</u>	
	151	EF 0 448 204 B1	04-20-1993	Lilly Industries	-	
	131	ED 0 460 914 A1	02-05-1992	Limited		
	153	EP 0 469 814 A1 EP 0 514 085 B1	11-19-1992	Smith		
_	152			Akzo Nobel N.V.		
	153	EP 0 659 432 A1	06-28-1995			
	154	EP 0 626 689 B1	11-30-1994	Moriyama et al.		F 1: 1
	155	+				English
				D. d. d To		abstract
		FD 0 662 015 D1	05.06.1005	Boehringer Ingelheim		
	1	EP 0 663 815 B1	07-26-1995	International. GMBH		claims
	156	TD 0.516.005.4	05 00 1000			English
	1	FR 2 516 387 A	05-20-1983	Fabre		abstract
	157		01 16 1005			English
		FR 2 584 604 A	01-16-1987	Lab Veyron-Froment		abstract
	158					English
		FR 2 662 936 A	12-13-1991	Glaxo Group Ltd.		abstract
	159	GB 1 242 211	08-11-1971	Gunning et al.		
	160	GB 2 165 159 A	04-09-1986	Orion-Yhtyma Oy		
	161	GB 2 178 965 A	02-25-1987	Glaxo Group Ltd.		
	162	GB 2 041 763 A	09-17-1980	Chiesi et al.		
	163	GB 2 235 753 A	03-13-1991	Cooper		
	164			United Kingdom		
				Atomic Energy		
		GB 2 248 400 A	04-08-1992	Authority		ļ
	165					English
		DE 197 57 207 A1	06-24-1999	Astrazeneca AB		abstract
	166	DE 14 98 398	01-30-1969	Norton Company		
	167					English
		DE 23 46 730 A	04-17-1975	Carl Heyer GMBH		abstract
	168					English
		DE 32 43 731 A	05-30-1984	Gambetta		abstract
		OTHER PRIOR A	ART – NON PATENT	LITERATURE DOCUMENTS		
xaminer nitials*	Cite No.1		ırnal, serial, symposiun	ERS), title of the article (when appron, catalog, etc.)., date, page(s), volun r country where published.		T <sup>2</sup>
	169	GERRITY, T.R., "Pathoph Chapter 1, Respiratory Dri				

Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.